

FORM PTO-4390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

381NP/50398

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (if known, see
37 CFR 1.5)

09/936481

INTERNATIONAL APPLICATION NO.

PCT/JP99/05115

INTERNATIONAL FILING DATE

20 September 1999

PRIORITY DATE CLAIMED

TITLE OF INVENTION

MOTOR GENERATOR IN HYBRID CAR AND CONTROL METHOD THEREOF

APPLICANT(S) FOR DO/EO/US

Razuo TAHARA, Houng Joong KIM, Tsunehiro ENDO, Ryoso MASAKI, Kou AJIMA, Toshiyuki INNAMI, Keiichi MASHINO,
Toshimi SAKURAI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- | | | |
|-----|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. |
| 2. | <input type="checkbox"/> | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 |
| 3. | <input checked="" type="checkbox"/> | This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay
Examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). |
| 4. | <input type="checkbox"/> | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. |
| 5. | <input checked="" type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371(c)(2)). |
| | a. | <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). |
| | b. | <input checked="" type="checkbox"/> has been transmitted by the International Bureau |
| | c. | <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) |
| 6. | <input checked="" type="checkbox"/> | A translation of the International Application into English (35 U.S.C. 371(c)(2)). |
| 7. | <input checked="" type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) |
| | a. | <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). |
| | b. | <input type="checkbox"/> have been transmitted by the International Bureau. |
| | c. | <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. |
| | d. | <input checked="" type="checkbox"/> have not been made and will not be made. |
| 8. | <input type="checkbox"/> | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). |
| 9. | <input checked="" type="checkbox"/> | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unexecuted) |
| 10. | <input type="checkbox"/> | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36
(35 U.S.C. 371(c)(5)). |

Item 11. to 16. below concern other document(s) or information included:

- | | | |
|-----|-------------------------------------|---|
| 11. | <input type="checkbox"/> | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. |
| 12. | <input type="checkbox"/> | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |
| 13. | <input checked="" type="checkbox"/> | A FIRST preliminary amendment. |
| | <input type="checkbox"/> | A SECOND or SUBSEQUENT preliminary amendment. |
| 14. | <input type="checkbox"/> | A substitute specification and marked-up copy thereof. |
| 15. | <input type="checkbox"/> | A change of power of attorney and/or address letter. |
| 16. | <input checked="" type="checkbox"/> | Other items or information: |
| | a. | Form PCT/IB/308; |
| | b. | 9 sheets of drawings showing Figs. 1-11, 12a, 12b |
| | c. | Japanese Search Report |



23911

PATENT TRADEMARK OFFICE



T0922T 2200 09090550

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/936481		INTERNATIONAL APPLICATION NO. PCT/JP99/05115		ATTORNEY'S DOCKET NUMBER 381NP/50398	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)):					
Search Report has been prepared by the EPO or JPO \$ 860.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 690.00					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 710.00					
Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 1000.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	26 - 20 =	6	X \$18.00	\$108.00	
Independent Claims	4 - 3 =	1	X \$80.00	\$80.00	
Multiple dependent claims(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS=				\$1178.00	
Applicant claims Small Entity Status (See 37 CFR §1.27) <input type="checkbox"/> yes <input type="checkbox"/> no. Reduction by 1/2 for filing by small entity, if applicable.				\$	
SUBTOTAL =				\$1178.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1178.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property +				\$	
TOTAL FEE ENCLOSED =				\$1178.00	
				Amount to be: refunded	\$
				Charged	\$
a. <input type="checkbox"/> Two checks in the amount of \$ the filing fee and \$ for the assignment recording fee are enclosed					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 05-1323 (Docket #381NP/50398) in the amount of \$1178.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 05-1323. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Crowell & Moring, L.L.P.				SIGNATURE	
P.O. Box 14300				Jeffrey D. Sanok	
Washington, D.C. 20044-4300				NAME	
Tel. No. (202) 624-2500				32,169	
Fax No. (202) 628-8844				REGISTRATION NUMBER	
				September 14, 2001	
				DATE	

JDS:pct